

2023 Important Year-End Information



Checklist for Year-End Documents

Please note that you may receive multiple year-end documents for the same account. We encourage you to take advantage of e-delivery for fastest receipt of your documents. If you have any questions regarding the delivery of these documents, please contact your Investment Advisor. In addition, some external issuers may amend previously released data, resulting in amended slips being mailed.

Tax Slip	Description	Expected Mailing Date
Non-registered Accounts		
Statement of Investment Income	T5/RL-3/NR4/Summary/US REITS/Split Corps	February 29, 2024
Statement of Securities Transactions	T5008/RL18	February 29, 2024
Statement of Partnership Income (Limited Partnership Units)	T5013/RL-15	March 31, 2024
Statement of Trust Income (Trust Units)	T3/RL-16/Summary	March 31, 2024
Realized Gain and Loss Report	If applicable, to assist with your gain and loss calculations	February 29, 2024
Foreign Property Report	If applicable, to assist in completing a T1135 Foreign Income Verification Statement	February 29, 2024
Summary of Investment Managed Fees	Serves as proof of the investment management fees paid	February 29, 2024
Registered Accounts		
RRSP Contribution Receipts: March 2 – December 31, 2023	March – December 2023 contribution receipts	January 2024
RRSP Contribution Receipts: January 1 – February 29, 2024	Contributions made during the first 60 days of 2024	Mailed weekly as contributions occur; received by March 2024
RRSP Withdrawals	T4RSP/RL-2	February 29, 2024
RRIF Withdrawals	T4RIF/RL-2	February 29, 2024
RESP or RDSP Withdrawals	T4A/RL-1	February 29, 2024
Non-Resident (RRSP/RRIF)	NR4	March 31, 2024
First Home Savings Account (FHSA) Contributions & Withdrawals	T4FHSA/RL-32	February 29, 2024

Note: Mutual fund tax slips, including T3/RL16, T5/RL3 and NR4, will be mailed directly to you by the mutual fund companies, depending on the activity in your account.

Statement of Investment Income

T5/RL-3/NR4/Summary/U.S. REITs/Split Corps tax slips report income earned in the account from dividends and interest. Split shares and U.S. REIT tax slips are provided separately. Income of less than \$50 is not reported on a tax slip but is still reportable on your income tax return. An Investment Income Summary is included with the tax slips and contains details on income amounts in the 'Paid to you' and 'Paid by you' columns.

Statement of Securities Transactions

T5008/RL-18

The T5008/RL-18 Statement of Securities Transaction tax slips report the proceeds of security dispositions that may trigger a gain or loss, which will need to be reported on your tax return. The T5008/RL-18 proceeds are reported including commission and fees if applicable. In the gain and loss report the amount provided is the net amount that is mailed to you separately.

Cost or Book Value

The T5008/RL-18 contains the cost (Box 20), where available, for the disposition of securities that occurred in your account. The cost noted in Box 20 is based on the information we have available on our records. In certain circumstances, the cost or book value displayed in Box 20 may be blank or need to be adjusted for tax filing purposes. The CRA is aware that the cost for determining the gain or loss may not be accurate and allows you to make adjustments when filing your return.

The T5008 will report a blank in Box 20 on short sales, including short options. In these cases, there is no cost as no security was purchased. There may be possible future reporting based on future actions that will require you to calculate the cost amount when the short position is cleared.

As per CRA guidelines, we do not report deemed dispositions on a T5008 slip; only actual dispositions with actual proceeds are reported. Certain deemed dispositions may be taxable and should be reported on your return even though no T5008 is issued.

Return of Capital and/or Phantom Distribution

Please note that the cost on certain sales or dispositions of investments may not be accurate on investments such as Trust Units (REIT and ETF), LPU, Split Corps or mutual funds. We will process ROC and/or Phantom Distribution adjustments as soon as they are received from the issuers of these investments. We do not have control when these adjustments will be received and frequently ROC and/or Phantom Distribution adjustments are provided after your tax package has been created. Therefore, the ROC and/or Phantom Distribution adjustments may not be reflected within the reports contained in your tax package. You may need to adjust the reported cost on the T5008 to reflect the applicable portion of any ROC and/or Phantom Distribution received and reported.

Statement of Partnership Income (Limited Partnership Units)

This reports gains, losses and/or distributions from a limited partnership.

Statement of Trust Income (Trust Units)

This reports the details of all Trust or mutual fund distributions, including ROC Phantom Distribution. There is generally more than one production run and the Trust Unit Summary will indicate if you have any additional Trust units that will be reported in the additional run(s).

Realized Gain and Loss and Foreign Property Reports

If applicable, these reports are mailed separately from the tax package and contain information to assist you in preparing your tax return or the T1135 Foreign Income Verification Statement.

The issuers do not provide ROC and/or Phantom Distributions information until late March, which is after the deadline for reporting. The cost amount on the T5008/RL-18 or the book value in the Gain and Loss Report may not reflect the adjusted cost base for the purpose of determining a given security's gain or loss.

Summary of Investment Management Fees

If applicable, this report is mailed separately from the tax package and contains information on the investment management fees paid during the year on your non-registered account(s).

RRSP Contribution Receipts

This reports the amount of cash and/or security value contributed to your RRSP account.

Registered Account Withdrawal Forms

This reports withdrawals and tax withheld, if applicable, from your registered accounts.

T4FHSA First Home Savings Account (FHSA)

T4FHSA First Home Savings Account Statement /RL-32 Slip reports the contributions, Transfers in/out from RRSPs or Spousal RRSPs and withdrawals from the FHSA and any tax withheld, if applicable.

Please consult with a qualified tax advisor or accountant for specific tax advice.

We are committed to sharing any disclosures provided by our service partners. To that end, we encourage you to read the annual disclosure statement from National Bank Independent Network (NBIN), our carrying broker, which outlines the services they provide and their responsibilities to account holders.

INVESTED IN YOU.

Refer to <https://files.iaprivatewealth.ca/-/media/files/iaprivatewealth/pdf/en/nbinen.pdf> for more information.

iA Private Wealth Inc. is a member of the Canadian Investor Protection Fund and the Investment Industry Regulatory Organization of Canada. iA Private Wealth is a trademark and business name under which iA Private Wealth Inc. operates.